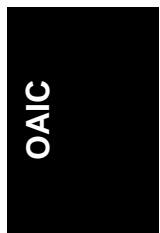


Office of the Australian Information Commissioner

Agency resources and planned performance



OFFICE OF THE AUSTRALIAN INFORMATION COMMISSIONER

Section 1: Agency overview and resources	437
1.1 Strategic Direction Statement	437
1.2 Agency resource statement	437
1.3 Budget measures.....	439
Section 2: Outcomes and planned performance	440
2.1 Outcomes and performance information	440
Section 3: Explanatory tables and budgeted financial statements	445
3.1 Explanatory tables	445
3.2 Budgeted financial statements.....	446



OFFICE OF THE AUSTRALIAN INFORMATION COMMISSIONER

Section 1: Agency overview and resources

1.1 STRATEGIC DIRECTION STATEMENT

The Office of the Australian Information Commissioner (OAIC) is established under the *Australian Information Commissioner Act 2010*.

The establishment of the OAIC on 1 November 2010 brings together, for the first time, functions relating to freedom of information (FOI) and privacy, as well as new functions relating to information policy. The Office of the Privacy Commissioner was integrated into the OAIC on 1 November 2010.

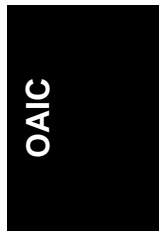
The three main functions of the OAIC are:

- Information Commissioner functions - strategic functions relating to information management in the Australian Government
- Privacy functions - protecting the privacy of individuals by ensuring proper handling of personal information in accordance with the *Privacy Act 1988* and other legislation
- FOI functions - promoting awareness of the public's right of access, under the *Freedom of Information Act 1982* (the FOI Act), to documents held by the Government.

The planned outcome for the OAIC is the provision of public access to Commonwealth Government information, protection of individuals' personal information, and performance of information commissioner, freedom of information and privacy functions.

The 2011-12 year will be the OAIC's first full year of operations. The OAIC will focus on establishing its business models and governance frameworks, and on establishing productive relationships with stakeholders.

The OAIC will monitor its workload and demands on its services to identify and manage any risks to its operations.



1.2 AGENCY RESOURCE STATEMENT

Table 1.1 shows the total resources from all sources. The table summarises how resources will be applied by outcome and by departmental classification.

Table 1.1: OAIC Resource Statement — Budget Estimates for 2011-12 as at Budget May 2011

	Estimate of prior year amounts available in 2011-12 \$'000	Proposed at Budget = 2011-12 \$'000	Total estimate 2011-12 \$'000	Actual available appropriation 2010-11 \$'000
Ordinary annual services¹				
Departmental appropriation				
Prior year departmental appropriation ²	720	-	720	-
Departmental appropriation ³	-	11,045	11,045	9,444
s31 relevant agency receipts ⁴	-	872	872	-
Total	720	11,917	12,637	9,444
Total ordinary annual services	A 720	11,917	12,637	9,444
Other services⁵				
Departmental non-operating				
Equity injections	-	-	-	2,160
Total	-	-	-	2,160
Total other services	B -	-	-	2,160
Total available annual appropriations	720	11,917	12,637	11,604
Special appropriations				
Total special appropriations	C -	-	-	-
Total appropriations excluding Special Accounts	720	11,917	12,637	11,604
Special Accounts				
Total Special Account	D -	-	-	-
Total resourcing				
A+B+C+D	720	11,917	12,637	11,604
Total net resourcing for agency	720	11,917	12,637	11,604

1 Appropriation Bill (No.1) 2011-12.

2 Estimated adjusted balance carried forward from previous year.

3 Includes an amount of \$0.25m in 2011-12 for the Departmental Capital Budget (refer to table 3.2.5 for further details). For accounting purposes this amount has been designated as 'contributions by owners'.

4 s31 Relevant Agency receipts – estimate.

5 Appropriation Bill (No.2) 2011-12.

All figures are GST exclusive.

1.3 BUDGET MEASURES

Budget measures relating to the OAIC are detailed in Budget Paper No. 2 and are summarised below.

Table 1.2: OAIC 2011-12 Budget measures

	Program	2010-11 \$'000	2011-12 \$'000	2012-13 \$'000	2013-14 \$'000	2014-15 \$'000
Expense measures						
Efficiency dividend - temporary increase in the rate						
Departmental expenses	all	-	(56)	(113)	(140)	(169)
Total		-	(56)	(113)	(140)	(169)
Total expense measures						
Departmental		-	(56)	(113)	(140)	(169)
Total		-	(56)	(113)	(140)	(169)

Prepared on a Government Finance Statistics (fiscal) basis.



Section 2: Outcomes and planned performance

2.1 OUTCOMES AND PERFORMANCE INFORMATION

Government outcomes are the intended results, impacts or consequences of actions by the Government on the Australian community. Commonwealth programs are the primary vehicle by which government agencies achieve the intended results of their outcome statements. Agencies are required to identify the programs that contribute to government outcomes over the Budget and forward years.

Each outcome is described below together with its related programs, specifying the performance indicators and targets used to assess and monitor the performance of the OAIC in achieving government outcomes.

Outcome 1: Provision of public access to Commonwealth Government information, protection of individuals' personal information, and performance of information commissioner, freedom of information and privacy functions.

Outcome 1 Strategy

In order to achieve its outcome, the OAIC will focus on these key areas:

- promoting open government by encouraging proactive publication
- participating in the development and implementation of the national information policy framework
- promoting and securing the protection of personal information
- enhancing the OAIC's capacity to achieve its vision of 'An Australia where government information is managed as a national resource and personal information is respected and protected'.

As 2011-12 will be its first full year of operations, the OAIC will carefully monitor its workload and demands on its services to ensure it is able to deliver its expected outcome within its budget allocation.

Table 2.1: Budgeted Expenses for Outcome 1

	2010-11 Estimated actual expenses \$'000	2011-12 Estimated expenses \$'000
Outcome 1: Provision of public access to Commonwealth Government information, protection of individuals' personal information, and performance of information commissioner, freedom of information and privacy functions		
Program 1.1: Complaint handling, compliance and monitoring, and education and promotion		
Departmental expenses		
Ordinary annual service (Appropriation Bill No. 1)	10,291	11,892
Expenses not requiring appropriation in the Budget year ¹	50	51
Total for Program 1.1	10,341	11,943
Total expenses for Outcome 1	10,341	11,943
	2010-11	2011-12
Average Staffing Level (number)	76	81

¹ Expenses not requiring appropriation in the Budget year is made up of depreciation expense, amortisation expense, makegood expense and audit fees.



Contributions to Outcome 1

Program 1.1 Office of the Australian Information Commissioner

Program 1.1 objective

The OAIC's program objectives are to:

- conduct reviews of FOI decisions made by ministers and agencies
- monitor, investigate and report on agency compliance with the FOI Act
- assist agencies in their implementation of the Information Publication Scheme under the FOI Act, and review agency compliance with the Information Publication Scheme
- promote awareness and understanding of the FOI Act and its objectives
- investigate complaints about interference with privacy
- inquire into acts or practices that may be interferences with privacy
- conduct audits of the personal information handling practices of Commonwealth and ACT agencies and other organisations covered by the Privacy Act
- foster public discussion and conduct educational programs to promote proactive publication, access to information and privacy protection
- advice on information management in Australian Government agencies.

Program 1.1 expenses

Employee expenses are forecast to rise moderately in the out years consistent with the staff certified agreement. Other expenses are anticipated to offset employee increases.

	2010-11 Revised budget \$'000	2011-12 Budget \$'000	2012-13 Forward year 1 \$'000	2013-14 Forward year 2 \$'000	2014-15 Forward year 3 \$'000
Annual departmental expenses:					
Departmental item	10,291	11,892	11,961	11,874	11,935
Expenses not requiring appropriation in the Budget year ¹	50	51	52	52	54
Total program expense	10,341	11,943	12,013	11,926	11,989

¹ Expenses not requiring appropriation in the Budget year is made up of depreciation expense, amortisation expense, makegood expense and audit fees.

Program 1.1 deliverables

The OAIC's program deliverables are:

- privacy and FOI complaint handling services
- privacy compliance activities
- FOI merit review services
- Information Publication Scheme compliance reviews
- privacy and FOI enquiries services
- advice and assistance on information management practices across the Australian Government
- promotion and educational activities.

Deliverables	2010-11 Revised budget	2011-12 Budget	2012-13 Forward year 1	2013-14 Forward year 2	2014-15 Forward year 3
Number of privacy complaints finalised within 12 months	80%	80%	80%	80%	80%
Number of FOI complaints finalised within 12 months	80%	80%	80%	80%	80%
Time taken from commencement to finalisation of audits	6 months	6 months	6 months	6 months	6 months
Number of FOI merit reviews completed in 6 months	80%	80%	80%	80%	80%
Number of Information Publication Scheme compliance reviews completed	n/a	10	50	50	50



Program 1.1 key performance indicators

- Australian Government agencies have implemented and comply with the requirements of the Information Publication Scheme and disclosure logs.
- Any reviews requested by the Government as part of the implementation of FOI reforms have been conducted.
- Principles on open public sector information have been published and promoted.
- A methodology for the measurement of the economic and social value of public sector information has been developed.
- OAIC merits review and complaint handling processes meet timeliness and quality benchmarks.
- Information and education products on privacy, FOI and information policy have been developed and meet stakeholder needs.

Section 3: Explanatory tables and budgeted financial statements

Section 3 presents explanatory tables and budgeted financial statements which provide a comprehensive snapshot of agency finances for the 2011-12 budget year. It explains how budget plans are incorporated into the financial statements and provides further details of the reconciliation between appropriations and program expenses, movements in administered funds, special accounts and government Indigenous expenditure.

3.1 EXPLANATORY TABLES

3.1.1 Movement of administered funds between years

The OAIC does not have any administered funds.

3.1.2 Special Accounts

The OAIC does not have any special accounts.

3.1.3 Australian Government Indigenous Expenditure

The OAIC does not have any indigenous-specific expenses.

3.2 BUDGETED FINANCIAL STATEMENTS

3.2.1 Differences in agency resourcing and financial statements

The agency resource statement (Table 1.1) provides a consolidated view of all the resources available to the agency in 2011-12. This includes equity injections and appropriation receivable that is yet to be drawn down to cover payables and provisions on the balance sheet. The income statement (Table 3.2.1) shows only the operating appropriation provided in 2011-12.

3.2.2 Analysis of budgeted financial statements

Income Statement

The OAIC is budgeting for a break-even operating result, adjusted for depreciation and amortisation expense, in 2011-12 and the forward years.

In line with net cash appropriation arrangements effective from 2010-11, revenue from government has been reduced by an amount equal to depreciation and amortisation expense. Future asset replacements are funded through the DCB as detailed in Table 3.2.5.

Balance Sheet

The change to net cash appropriation arrangements will potentially reduce the net asset balance and equity position depending upon the level of the DCB compared to the depreciation expense.

In 2011-12, the OAIC has budgeted for capital expenditure of \$25,000 for the maintenance of IT infrastructure, existing communication systems and leasehold improvements.

Statement of Changes in Equity

The departmental statement of changes in equity reflects the approved departmental capital budget funding of \$25,000.

3.2.3 Budgeted financial statements tables

**Table 3.2.1 Comprehensive Income Statement (Showing Net Cost of Services)
(for the period ended 30 June)**

	Estimated actual 2010-11 \$'000	Budget estimate 2011-12 \$'000	Forward estimate 2012-13 \$'000	Forward estimate 2013-14 \$'000	Forward estimate 2014-15 \$'000
EXPENSES					
Employee benefits	6,122	7,312	7,425	7,315	7,519
Supplier expenses	4,178	4,589	4,545	4,568	4,442
Depreciation and amortisation	26	27	28	28	28
Finance costs	10	10	10	10	-
Other	5	5	5	5	-
Total expenses	10,341	11,943	12,013	11,926	11,989
LESS:					
OWN-SOURCE INCOME					
Own-source revenue					
Sale of goods and rendering of services	850	850	850	850	850
Rental income	22	22	22	22	22
Total own-source revenue	872	872	872	872	872
Gains					
Other	24	24	24	24	26
Total gains	24	24	24	24	26
Total own-source income	896	896	896	896	898
Net cost of (contribution by) services	9,445	11,047	11,117	11,030	11,091
Revenue from Government	9,419	11,020	11,089	11,002	11,063
Surplus (Deficit)	(26)	(27)	(28)	(28)	(28)
Surplus (Deficit) attributable to the Australian Government	(26)	(27)	(28)	(28)	(28)
Note: Reconciliation of comprehensive income attributable to the agency					
	2010-11 \$'000	2011-12 \$'000	2012-13 \$'000	2013-14 \$'000	2014-15 \$'000
Operating result attributable to the Australian Government	(26)	(27)	(28)	(28)	(28)
plus non-appropriated expenses depreciation and amortisation expenses	26	27	28	28	28
Total Comprehensive Income (loss) Attributable to the agency	-	-	-	-	-

Prepared on Australian Accounting Standards basis.



**Table 3.2.2: Budgeted departmental balance sheet
(as at 30 June)**

	Estimated actual 2010-11 \$'000	Budget estimate 2011-12 \$'000	Forward estimate 2012-13 \$'000	Forward estimate 2013-14 \$'000	Forward estimate 2014-15 \$'000
ASSETS					
Financial assets					
Cash and cash equivalents	720	881	861	841	841
Trade and other receivables	372	300	300	300	300
Total financial assets	1,092	1,181	1,161	1,141	1,141
Non-financial assets					
Property, plant and equipment	2,367	2,333	2,333	2,336	2,324
Intangibles	102	113	130	144	153
Other	292	294	294	294	294
Total non-financial assets	2,761	2,740	2,757	2,774	2,771
Total assets	3,853	3,921	3,918	3,915	3,912
LIABILITIES					
Payables					
Suppliers	14	14	14	14	14
Other	172	172	172	172	172
Total payables	186	186	186	186	186
Provisions					
Employee provisions	1,097	1,167	1,167	1,167	1,167
Other	37	37	37	37	37
Total provisions	1,134	1,204	1,204	1,204	1,204
Total liabilities	1,320	1,390	1,390	1,390	1,390
Net assets	2,533	2,531	2,528	2,525	2,522
EQUITY*					
Parent entity interest					
Contributed equity	1,836	1,861	1,886	1,911	1,936
Reserves	12	12	12	12	12
Retained surplus	-	-	-	-	-
(accumulated deficit)	685	658	630	602	574
Total parent entity interest	2,533	2,531	2,528	2,525	2,522
Total Equity	2,533	2,531	2,528	2,525	2,522

* 'Equity' is the residual interest in assets after deduction of liabilities.
Prepared on Australian Accounting Standards basis.

Table 3.2.3: Departmental statement of changes in equity — summary of movement (Budget year 2011-12)

	Retained earnings	Asset revaluation reserve	Contributed equity/capital	Total equity
	\$'000	\$'000	\$'000	\$'000
Opening balance as at 1 July 2011				
Balance carried forward from previous period	685	12	1,836	2,533
Adjusted opening balance	685	12	1,836	2,533
Comprehensive income				
Surplus (deficit) for the period	(27)	-	-	(27)
Total comprehensive income recognised directly in equity	(27)	-	-	(27)
Transactions with owners				
<i>Contributions by owners</i>				
Appropriation (departmental) capital budget	-	-	25	25
Sub-total transactions with owners	-	-	25	25
Estimated closing balance as at 30 June 2012	658	12	1,861	2,531

Prepared on Australian Accounting Standards basis.

**Table 3.2.4: Budgeted departmental statement of cash flows
(for the period ended 30 June)**

	Estimated actual 2010-11 \$'000	Budget estimate 2011-12 \$'000	Forward estimate 2012-13 \$'000	Forward estimate 2013-14 \$'000	Forward estimate 2014-15 \$'000
OPERATING ACTIVITIES					
Cash received					
Goods and services	872	872	872	872	872
Appropriations	9,419	11,020	11,089	11,002	11,063
Cash from the Official Public Account	2,049	-	-	-	-
Net GST received	110	110	110	110	110
Total cash received	12,450	12,002	12,071	11,984	12,045
Cash used					
Employees	5,252	7,062	7,425	7,315	7,519
Suppliers	5,112	4,779	4,666	4,689	4,526
Cash to the Official Public Account	2,394	-	-	-	-
Total cash used	12,758	11,841	12,091	12,004	12,045
Net cash from (used by) operating activities	(308)	161	(20)	(20)	-
INVESTING ACTIVITIES					
Cash used					
Purchase of property, plant and equipment	2,000	6	25	25	25
Purchase of intangibles	185	19	-	-	-
Total cash used	2,185	25	25	25	25
Net cash from (used by) investing activities	(2,185)	(25)	(25)	(25)	(25)
FINANCING ACTIVITIES					
Cash received					
Contributed equity	2,185	25	25	25	25
Total cash received	2,185	25	25	25	25
Net cash from (used by) financing activities	2,185	25	25	25	25
Net increase (decrease) in cash held	(308)	161	(20)	(20)	-
Cash and cash equivalents at the beginning of the reporting period	1,028	720	881	861	841
Cash and cash equivalents at the end of the reporting period	720	881	861	841	841

Prepared on Australian Accounting Standards basis.

Table 3.2.5: Departmental Capital Budget Statement

	Estimated actual 2010-11 \$'000	Budget estimate 2011-12 \$'000	Forward estimate 2012-13 \$'000	Forward estimate 2013-14 \$'000	Forward estimate 2014-15 \$'000
CAPITAL APPROPRIATIONS					
Capital budget - Bill 1 (DCB)	25	25	25	25	25
Equity injections - Bill 2	2,160	-	-	-	-
Total capital appropriations	2,185	25	25	25	25
Total new capital appropriations					
Represented by:					
Purchase of non-financial assets	2,185	25	25	25	25
Total Items	2,185	25	25	25	25
PURCHASE OF NON-FINANCIAL ASSETS					
Funded by capital appropriations	2,160	-	-	-	-
Funded by capital appropriation - DCB ¹	25	25	25	25	25
TOTAL	2,185	25	25	25	25
RECONCILIATION OF CASH USED TO ACQUIRE ASSETS TO ASSET MOVEMENT TABLE					
Total purchases	2,185	25	25	25	25
Total cash used to acquire assets	2,185	25	25	25	25

¹ Includes purchases from current and previous year's department capital budgets.

DCB = Departmental Capital Budget.

Prepared on Australian Accounting Standards basis.

Table 3.2.6: Statement of Asset Movements (2011-12)

	Asset Category		Total
	Other property, plant and equipment \$'000	Intangibles \$'000	
As at 1 July 2011			
Gross book value	2,384	146	2,530
Accumulated depreciation/amortisation and impairment	17	44	61
Opening net book balance	2,367	102	2,469
CAPITAL ASSET ADDITIONS			
Estimated expenditure on new or replacement assets			
By purchase - appropriation ordinary annual services ¹	6	19	25
Total additions	6	19	25
Other movements			
Depreciation/amortisation expense	17	10	27
Other	23	2	25
As at 30 June 2012			
Gross book value	2,390	165	2,555
Accumulated depreciation/amortisation and impairment	57	52	109
Closing net book balance	2,333	113	2,446

¹ "Appropriation ordinary annual services" refers to funding provided through Appropriation Bill (No.1) 2011-12 for depreciation / amortisation expenses, DCBs / ACBs or other operational expenses. Prepared on Australian Accounting Standards basis.